

Board of Management Audit & Assurance Committee

Date of Meeting	Tuesday 6 September 2022
Paper No.	AAC1-I
Agenda Item	5.3
Subject of Paper	Internal Audit Progress Report
FOISA Status	Disclosable
Primary Contact	David Archibald, Henderson Loggie
Date of production	1 September 2022
Action	For Discussion/Decision

1. Recommendations

The Committee is asked to consider the attached Internal Audit Progress Report.

City of Glasgow College

Internal Audit Progress Report

Audit and Assurance Committee - 6 September 2022

Issued: 1 September 2022



Internal Audit Progress Report September 2022

Progress in delivering the annual plan for 2021/22 is shown below.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit and Assurance Committee	Comments
Audit Needs Assessment and Strategic Plan 2021 to 2024	March 2022	Draft 17/02/22 2 nd Draft 25/02/22 Final 02/03/22	2022/01	N/A	02/03/22	
Annual Plan 2021/22	March 2022 and May 2022	Draft 17/02/22 2 nd Draft 25/02/22 Final 18/05/22	2022/02	N/A	02/03/22 and 24/05/22	
Business Continuity	September 2022	Draft 13/05/22 Final 29/06/22	2022/03	Good	06/09/22	
Corporate Planning	May 2022	Draft 18/05/22 Final 18/05/22	2022/04	Good	24/05/22	
Internal Communications	November 2022					Fieldwork commenced on 29 August 2022.
Health & Safety	September 2022	Draft 17/08/22 Final 30/08/22	2022/05	Satisfactory	06/09/22	
Fraud Prevention, Detection and Response	November 2022					Fieldwork scheduled for week commencing 12 September 2022.



Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit and Assurance Committee	Comments
Business Development / International Activities	September 2022	Draft 29/08/22 Final 01/09/22	2022/06	Good	06/09/22	
Data Protection	November 2022					Fieldwork scheduled for week commencing 26 September 2022.
IT / Digital Strategy	September 2022					Fieldwork was delayed slightly and commenced on 8 August 2022.
Follow-Up Reviews	September 2022					Fieldwork commenced on 27 July 2022 and collation of responses is still ongoing.
Credits	November 2022					Fieldwork scheduled to commence on 19 September 2022.

Gradings are defined as follows:

Good	System meets control objectives.
Satisfactory	System meets control objectives with some weaknesses present.
Requires improvement	System has weaknesses that could prevent it achieving control objectives.
Unacceptable	System cannot meet control objectives.



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